

1 **Prediction of Dry-Season Precipitation in**
2 **Tropical West Africa and its Relation to Forcing**
3 **from the Extratropics**

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1 ABSTRACT

2 Precipitation during the boreal winter dry season in tropical West Africa is rare
3 but occasionally connected to high-impacts for the local population. The dynamics and
4 predictability of this phenomenon have been studied very little. Here a statistical
5 evaluation of the climatology, dynamics, and predictions of dry-season wet events is
6 presented for the region 7.5–15°N, 10°W–10°E. The analysis is based upon GPCP
7 merged satellite-gauge pentad rainfall estimates and five-day ERA-40 precipitation
8 forecast, and covers the 23 dry seasons (November–February) 1979/80–2001/02. Wet
9 events are defined as pentads with an area-averaged precipitation anomaly of more than
10 +200% with respect to the mean seasonal cycle. Composites of the 43 identified events
11 indicate an association with a trough over northwestern Africa, a tropical plume on its
12 eastern side, unusual precipitation at the northern and western fringes of the Sahara, and
13 reduced surface pressure over the Sahara, which allows an inflow of moist southerlies
14 from the Gulf of Guinea to feed the unusual dry-season rainfalls. The results give
15 evidence for a pre-conditioning by another disturbance about one week prior to the
16 precipitation event. The ERA-40 forecasts show a high temporal correlation with
17 observations, a general wet bias, but a somewhat too low number of wet events. With
18 53% of all identified events correctly forecasted and only 32% of forecasted events not
19 verified the model shows a moderate skill in contrast to the prediction of many other
20 tropical precipitation systems. A separate consideration of hits, misses, and false alarms
21 corroborates the previously proposed hypothesis that a strong extratropical influence
22 enhances the quality of predictions in this region. The results should encourage weather
23 services in West Africa to take advantage of available dry-season precipitation forecasts
24 in terms of the dissemination of early warnings.

1 **1. Introduction**

2 During boreal winter tropical West Africa is under the influence of dry and often
3 dusty northeasterly Harmattan winds from the Sahara. Regular rainfalls are absent, except
4 for the coastal strip between the Grain Coast and the Niger Delta (e.g., Buckle 1996).
5 Occasional dry-season precipitation events in the Soudano-Sahelian zone of West Africa
6 have been termed Mango or Heug rains in the western part and are often related to upper-
7 level disturbances intruding from the extratropical North Atlantic into the Tropics (Seck
8 1962; Griffiths 1972; Borgne 1979; Gaye et al. 1994; Issar 1995; Buckle 1996; Leroux
9 2001). Recently Knippertz and Fink 2008a (KF08 hereafter) documented a case of an
10 unusual northward penetration of the rain zone into the countries of Ghana, Togo, Benin,
11 and Nigeria in January 2004. Despite their rare occurrence, dry-season wet events can
12 have substantial impacts on the local hydrology and human activities reaching from
13 greening pastures to flooding and rotting harvests (Knippertz and Martin 2005; Fall et al.
14 2007; KF08). KF08 proposed a close link of the unusual tropical rainfalls to the synoptic
15 evolution in the extratropics. They show that extratropical disturbances penetrating into
16 low latitudes support a diabatic pressure fall over West Africa through the anomalous
17 radiative warming under a diagonal cloud band on the eastern flank of a first trough,
18 often referred to as a tropical plume (TP; see Knippertz 2005), and a dynamical pressure
19 fall through subsidence and warm advection associated with a subsequent second upper-
20 level trough. As a consequence of the reduced surface pressure, moist monsoon air from
21 the Gulf of Guinea penetrates inland and allows the formation of deep moist convection
22 and heavy precipitation. In the case discussed by KF08, extreme precipitation also
23 occurred in subtropical Northwest Africa to the east of the second trough.

1 Based on this case study KF08 hypothesized that the strong extratropical
2 influences may imply a comparably good predictability of such events that would allow a
3 timely warning of the population and therefore a mitigation of detrimental impacts as
4 well as an exploitation of beneficial effects. To test this hypothesis, the present study
5 gives a statistical evaluation of boreal winter precipitation forecasts made by the
6 European Centre for Medium-Range Weather Forecasts (ECMWF) as part of the ERA-40
7 project (Uppala et al. 2005). Only the geostationary satellite period from 1979–2002,
8 usually regarded as the more reliable part of the dataset for climatological analyses
9 (Kållberg et al. 2005), will be considered. The objectives of this study are (A) to identify
10 episodes of a temporary northward extension of the ITCZ rainfall belt onto the West
11 African continent during the dry season (November–February), (B) to understand the
12 dynamics of the rainfall generation including the role of the extratropics, (C) to evaluate
13 the ability of a state-of-the-art numerical weather prediction (NWP) model to forecast
14 such events, and (D) to investigate in what way the degree of extratropical influence is
15 related to forecast skill. The remainder of the paper is structured as follows. Section 2
16 provides information on the employed observational and forecast data. Section 3 contains
17 an exemplary case study. Section 4 describes the identification of dry-season wet events
18 and their climatology, while section 5 evaluates ERA-40 forecasts of these events.
19 Section 6 contains a detailed analysis of the dynamics including a differentiation between
20 successful and unsuccessful forecasts. The most important results are summarized and
21 discussed in section 7 together with a compilation of open research issues.

22

23

1 **2. Data**

2 *a. Precipitation observations*

3 The main observational dataset used in this study is a merged satellite-gauge
4 product provided by the Global Precipitation Climatology Project (GPCP). This dataset
5 includes microwave precipitation estimates based on Special Sensor Microwave/Imager
6 (SSM/I) data from the polar-orbiting Defense Meteorological Satellite Program (DMSP)
7 satellites and infrared (IR) precipitation estimates from geostationary and polar-orbiting
8 satellites. Additional low-Earth orbit estimates include the Atmospheric Infrared Sounder
9 (AIRS) data from the NASA Aqua, and Television Infrared Observation Satellite
10 Program (TIROS) Operational Vertical Sounder (TOVS) and Outgoing Longwave
11 Radiation Precipitation Index (OPI) data from the NOAA series satellites. The gauge data
12 are assembled and analyzed by the Global Precipitation Climatology Centre (GPCC) of
13 the German Weather Service (DWD) and by the Climate Prediction Center of NOAA.
14 The blending procedure is described in Adler et al. (2003). Here the Pentad product that
15 provides precipitation estimates on a 2.5-degree grid over the entire globe at five-day
16 (pentad) intervals for the period January 1979 – present (Xie et al. 2003) is used. For leap
17 years the pentad period starting on 25 February covers six days. The data was
18 downloaded in NetCDF format from [http://www.jisao.washington.edu/data_sets/
19 gpcp/daily/pentad.html](http://www.jisao.washington.edu/data_sets/gpcp/daily/pentad.html). A comparison of GPCP with Climate Prediction Center Merged
20 Analysis of Precipitation (CMAP) on a monthly basis revealed a good performance of
21 GPCP data in regions with low gauge density such as West Africa (Yin et al. 2004).

22 For the study of some cases occurring after 1998, the Tropical Rainfall Measuring
23 Mission (TRMM) and Other Data Precipitation Data Set (3B42 V6; Huffman et al. 2007)

1 in a much higher spatial resolution of 0.25° is additionally used for comparison. These
2 data are three-hourly combined microwave-IR estimates (with gauge adjustment) and
3 were downloaded from <http://disc2.nascom.nasa.gov/Giovanni/tovas/> operated by the
4 National Aeronautics and Space Administration (NASA). The gauge data employed here
5 are standard SYNOP observations from the archive of the DWD and the Global Summary
6 of the Day (GSOD) data provided by the National Climatic Data Center (NCDC;
7 <ftp.ncdc.noaa.gov/pub/data/g sod/>).

8

9 *b. ECMWF and CLAU S data*

10 The precipitation forecasts evaluated in this study come from the ERA-40 re-
11 analysis project by the ECMWF (Uppala et al. 2005). Accumulated total precipitation
12 (i.e., convective plus large-scale) from 120-hour forecasts with the ERA-40 model
13 version T159L60 started at 0000 UTC of the first day of each of the GPCP pentads (see
14 Section 2a) is considered, which results in a perfect temporal match. Note that this
15 resolution is considerably coarser than the current model version T799L91. The data was
16 retrieved from the ECMWF archive in $1^\circ \times 1^\circ$ lat-lon horizontal resolution and then
17 interpolated to the GPCP $2.5^\circ \times 2.5^\circ$ -grid using a bicubic interpolation routine contained in
18 the Climate Data Operators software package developed at the Max-Planck Institute for
19 Meteorology in Hamburg (<http://www.mpimet.mpg.de/fileadmin/software/cdo/>). The
20 interpolation occasionally generates spurious negative rainfalls that were set to zero.

21 For the analysis of the large-scale atmospheric circulation and forecast errors in
22 Section 6 ERA-40 re-analysis and forecast fields of mean-sea level pressure (MSLP),
23 geopotential height at 500 hPa (Z500 hereafter), and 2-m dew point temperature (TD2M

1 hereafter) on a $1^\circ \times 1^\circ$ lat–lon grid were considered that are available every six hours.
2 Note that the TD2M fields were not directly produced by the primary 3D-Var analysis
3 but by an optimum interpolation of measurements and are therefore comparably little
4 influenced by the ECMWF model (see chapter 3d in Uppala et al. 2005). As a
5 climatological background, ERA-40 long-term monthly means of 1200-UTC Z500,
6 MSLP, and TD2M were computed for the period 1979–2001. For the analysis of clouds
7 thermal IR window (10.5–12.5 μm) brightness temperatures (BTs) provided by the Cloud
8 Archive User Service (CLAUS) were downloaded from the British Atmospheric Data
9 Centre (BADC) under <http://badc.nerc.ac.uk/data/clus>. CLAUS merges information
10 from several geostationary and polar-orbiting satellites (e.g., NOAA, GOES,
11 METEOSAT, GMS) into a homogeneous global dataset (Hodges et al. 2000). The
12 horizontal resolution of this data is 0.5° and BT fields are available every three hours for
13 the period July 1983 to present. Unfortunately, the first four dry-seasons considered for
14 the GPCP analysis are not covered by CLAUS, slightly reducing the numbers of cases
15 available for the composite analysis in Section 6.

16

17 **3. An example case study**

18 As an introduction to the problem, a case study of an unusual dry-season rainfall
19 event is presented. Figures 1a and 1b show the accumulated precipitation for the pentad
20 15–19 February 1999 from the GPCP and TRMM datasets together with station
21 observations. These data clearly indicate that the precipitation zone, usually restricted to
22 the near-equatorial Atlantic Ocean and Gulf of Guinea during the dry season (e.g., Fig. 11
23 in Xie and Arkin 1997), reached unusually far into the study area over the West African

1 continent marked with black boxes in Fig. 1. The coarse-resolution GPCP data (Fig. 1a)
2 show a maximum grid-box value in excess of 50 mm over western Nigeria and even
3 some precipitation to the north of 10°N. The high-resolution TRMM data contain much
4 more details and indicate regions with highest rainfalls over central Ivory Coast and
5 western Nigeria, also with amounts exceeding 50 mm (Fig. 1b). The selected station
6 observations confirm these unusual rainfalls, with values ranging from 46 mm at
7 Bondoukou (8.05°N, 2.78°W) and 44 mm at Parakou (9.35°N, 2.62E) to traces as far
8 north as Dori (14.03°N, 0.03°W). The recorded amount at Parakou corresponds to almost
9 five times the 1961–1990 February average of 9 mm. Such amounts substantially affect
10 the local hydrology and vegetation as exemplified in KF08. Unfortunately, the few
11 available reports from the Nigerian synoptic network do not confirm the maxima in the
12 satellite estimates. Interestingly, both datasets indicate scattered patches of light rain over
13 the Sahara. The synoptic data indicates that the precipitation fell during the first four days
14 of the pentad, mainly during the afternoon and evening hours, suggesting a triggering of
15 convection by daytime heating. The corresponding five-day precipitation forecast from
16 the ERA-40 data reproduces the unusual shift of the precipitation zone into the continent
17 well, while some of the fine structure evident from the TRMM and station data is missing
18 (Fig. 1c). This suggests that the ECMWF model is capable of simulating the changes in
19 the large-scale circulation that allow convection to form farther north than usual, but
20 struggles to correctly reproduce the details of the convective dynamics. Such a forecast is
21 nevertheless of great value to the local population as discussed in the Introduction.

22 The unusual rainfalls are associated with a very pronounced, strongly tilted upper-
23 level trough across northwestern Africa downstream of an equally pronounced upper-

1 ridge as indicated by Z500 at 1200 UTC 17 February 1999 (Fig. 2a). MSLP is reduced
2 over a large area to the southeast of the trough axis allowing low-level southerly moisture
3 advection into the continent. This is clearly indicated by the northward shift of the 14°C-
4 contour of TD2M (thick lines in Fig. 2a) that is often used as an indicator for the position
5 of the Intertropical Discontinuity (ITD), the boundary between dry Saharan and moist
6 tropical air over West Africa (Buckle 1996). A maximum MSLP anomaly of -8 hPa with
7 respect to the long-term February mean is analyzed over northern Niger near 20°N, 10°E
8 (not shown). Nine hours later CLAUS BTs show a TP along the southeastern flank of the
9 trough (Fig. 2b). While the straight cloud band over Mali caused rather little
10 precipitation, some rainfall was associated with the widespread deep convection over
11 Nigeria. It is conceivable that low inertial stability at the anticyclonic flank of the
12 subtropical jet streak accompanying the upper-trough has provided good outflow
13 conditions for convection (Mecikalski and Tripoli 1998; Knippertz 2005). The situation
14 resembles the dry-season precipitation case discussed in KF08, who suggested a relation
15 between the pressure fall over West Africa and the combined affects of warm advection
16 and an enhanced greenhouse effect under the TP. Z500, MSLP, TD2M, and CLAUS BTs
17 will be used for the composite analysis of the dynamics of dry-season rainfalls in
18 Section 6.

19

20 **4. Climatology of dry-season precipitation events**

21 In this section the method to obtain a climatology of dry-season precipitation
22 events is described including an explanation of the identification algorithm and a
23 motivation of the chosen thresholds in Section 4a. This algorithm is then applied to the

1 entire GPCP pentad dataset in Section 4b and to the ERA-40 forecasts in Section 5. In
2 Section 4c some remarkable events identified with the algorithm will be discussed.

3

4 *a. Identification*

5 The first step of the identification procedure is to calculate area averages of
6 precipitation over the box indicated in Figs. 1 and 2 spanning 7.5°N–15°N, 10°W–10°E.
7 For the GPCP pentad data this corresponds to eight grid boxes in the zonal and three grid
8 boxes in the meridional direction, i.e. 24 grid boxes in total. The second step is to
9 estimate a climatological background for the computation of anomalies. In order to get a
10 smooth annual cycle from the 23 years of GPCP data, a 3-pentad (=15-days) sliding
11 window is used, i.e., the climatological mean for a given pentad is calculated from 69
12 different pentad values. The investigations are restricted to the main dry season spanning
13 the 24 pentads from 02–06 November to 25 February–01 March. During this period
14 precipitation rates stay below 0.7 mm day⁻¹, typical of the dry season (Fig. 3). Highest
15 values are reached for the first pentad in early November and then precipitation rates
16 slowly decrease to values below 0.1 mm day⁻¹ from mid-December to the beginning of
17 February followed by a rather abrupt increase to values around 0.25 mm day⁻¹. The
18 standard deviations for these 15-day means are of the same magnitude or larger than the
19 mean values themselves indicating substantial interannual variability.

20 The third step of the identification routine is to calculate anomalies with respect to
21 the mean annual cycle shown in Fig. 3. These anomalies can be expressed in absolute
22 numbers, i.e. in units of mm day⁻¹, or in a relative sense, i.e., in percent with respect to
23 the pertinent climatological pentad mean. This way an anomaly of –100% corresponds to

1 no precipitation at all, while for example an anomaly of +200% means three times the
2 average precipitation. As an example, Fig. 4 shows the anomaly values in both absolute
3 and relative numbers for the 24 pentads of the dry season 1998/1999. Many pentads show
4 negative anomalies, some even close to -100% indicating basically dry pentads. The fact
5 that the absolute anomalies decrease for a given relative anomaly towards the middle of
6 the plot is a result of the annual cycle (Fig. 3). Only eight pentads have positive
7 anomalies demonstrating the episodic nature of dry-season rainfalls. The most prominent
8 are Pentad 8 with $+0.26 \text{ mm day}^{-1}$ (i.e., +187%), Pentad 14 with $+0.14 \text{ mm day}^{-1}$ (i.e.,
9 +241%), and Pentad 22 with $+1.3 \text{ mm day}^{-1}$ (i.e., +538%). Pentad 22, during which more
10 than six times more precipitation fell than usually, is the example case presented in
11 Section 3. The area-average accumulated rainfall during this pentad is 7.7 mm, but
12 Figs. 1a and 1b show that locally, amounts on the order of 50 mm and more were
13 observed. The last step is the definition of a significant dry-season wet event. The
14 identification threshold was arbitrarily set to +200% (i.e., three times the mean rainfall).
15 In Fig. 4 only the two pentads 06–10 January and 15–19 February 1999 fulfill this
16 criterion. A relative anomaly threshold is preferred to an absolute one to account for the
17 annual cycle. In addition a stronger tropical influence on precipitation is expected in
18 November and therefore the authors prefer to consider only the strongest events in this
19 month, for which an influence of the extratropical circulation can be assumed. The
20 obvious disadvantage of this approach is that the impact on the hydrology can differ for a
21 typical January compared to a typical November event.

22

23

1 *b. Climatology*

2 When applying the +200% anomaly criterion to the 23 dry seasons 1979/80 to
3 2001/02, in total 43 wet events are obtained, i.e., 1.87 per year. In other words 7.8% of all
4 pentads or about every 13th pentad is wet. Figure 5 shows a time series of the number of
5 wet pentads per season, which varies between zero in 1982/83, 1994/95, and 2000/01 and
6 five in 1979/80 and 1990/91. There are seven years with, respectively, one and two
7 events. There is no obvious trend in this time series. Table 1 shows the average monthly
8 distribution of wet events. Here a ‘month’ consists of six pentads regardless of the actual
9 length of the calendar month (second column of Table 1). With 13 events each, most
10 events occur in DEC and JAN, when the mean precipitation and therefore the absolute
11 exceedance thresholds are lowest. However, the fact that there are substantially more
12 events in the relatively wet NOV than in FEB indicates that the number of wet events in
13 this relative sense is not simply anti-correlated with the absolute value of the exceedance
14 threshold. Possibly, the substantial differences in soil moisture and vegetation at the
15 beginning and end of the dry season affect inter alia vertical stability and moisture
16 availability. The number of identified events is, of course, sensitive to the arbitrarily
17 chosen threshold. With +300% instead of +200% the total number is reduced to only 24
18 events with a slightly flatter annual cycle (Table 1).

19 Figure 6 shows a composite of GPCP precipitation for all 43 identified dry-season
20 wet events. Highest absolute values occur in the Atlantic ITCZ and close to southern
21 Italy. Within the study region (marked by a black box in Fig. 6), there is a distinct south–
22 north decrease in rainfall, but also a west–east gradient, leading to a precipitation
23 maximum over the Guinean Highlands and leaving the five grid boxes over northern

1 Burkina Faso and southern Niger almost dry. Therefore restricting the study region to the
2 southern two rows of grid boxes spanning 7.5–12.5°N would not have a very large affect
3 on the event detection (not shown). There are five cases in which restricting the domain
4 will increase the anomaly from just below the threshold of +200% to just above it. On the
5 other hand there are three cases, in which rainfalls between 12.5°N and 15°N contribute
6 substantial amounts to the area average, most notably during the pentad 21–25 January
7 1992, when a large precipitation band reached all across the Sahara into the Sahel. Over
8 the entire time period the correlation between precipitation over the whole region and just
9 the southern two rows of grid boxes is greater than 0.99. Interestingly, the composite in
10 Fig. 6 indicates both a northward extension of the tropical precipitation zone and a
11 southward extension of the subtropical rainfalls affecting large parts of the western and
12 northern Sahara. This pattern is consistent with the case shown in Fig. 1 and the one
13 analyzed by KF08, and corroborates a dynamical linkage between remote wintertime
14 precipitation events to the south and north of the Sahara.

15

16 *c. Remarkable events*

17 The algorithm presented above identifies five events with anomalies of more than
18 1000%, all during the driest months DEC and JAN. These include 27–31 December
19 1989, 01–05 January 2000, and 06–10 January 2002. In the former two cases, the
20 available station reports indicate widespread, moderate to abundant rainfall amounts
21 between 10 and 47 mm in the west-central part of the study region. The latter event
22 brought also record-breaking precipitation in excess of 50 mm to parts of Senegal and
23 Mauritania with harmful impacts on the local population (Knippertz and Martin 2005;

1 Fall et al. 2007; Meier and Knippertz 2009). Station reports in the investigation area
2 during this event peaked at 23 mm in Bouake (7.73°N, 5.06°W) and at 20 mm in Save
3 (8.03°N, 2.48°E). Very unusual is also the 3-pentad period 12–27 December 1990 with
4 positive anomalies of 1082%, 212%, and 1482% corresponding to an accumulated area-
5 averaged precipitation of 12.7 mm (almost four times the DEC average, see Table 1). At
6 Bondoukou (8.05°N, 5.06°W) the December sum of 54 mm constitutes more than four
7 times the 1961-1990 Clino rainfall normal of 11 mm. This event is related to a repeated
8 regeneration of a distinct trough over northern Africa. There are five more events with
9 anomalies of more than +500%, one of which is the case presented in Section 3. The
10 others are 16–20 January 1980, 15–19 February 1982, 21–25 January 1992, and 10–14
11 February 1996. In January 1980, 81 mm fell at Bondoukou (8.05°N, 5.06°W), which
12 constitutes about ten times the average January rainfall of the 1961–1990 period. Bouake
13 (7.73°N, 5.06°W) recorded 87 mm in February 1982. As shown in Knippertz and Martin
14 (2005), and KF08 for January, values in excess of 100 mm per event are possible that
15 cause local flooding at the peak of the dry season.

16

17 **5. Forecast evaluation**

18 *a. Climatology*

19 In this part the GPCP results from Section 4 are compared to five-day ERA-40
20 forecasts. For an optimal comparison, the exact same 23 years and 24 pentads per year
21 (i.e., 552 pentads) are regarded with forecasts being started at 0000 UTC on the first day
22 of the respective pentad. After applying the area average and the 3-pentad-running-
23 window time average described in Section 4a to the forecast data, a mean seasonal cycle

1 analogous to Fig. 3 is obtained (black bars in Fig. 7). The gray bars in Fig. 7 show the
2 difference to GPCP in % indicating a marked wet bias of the ECMWF model with only
3 one pentad showing an underestimation (cf. Fig. 6 in Hagemann et al. 2005). Absolute
4 differences can be as high as 0.29 mm day^{-1} with an average over all 24 pentads of
5 0.08 mm day^{-1} (not shown). In a relative sense the overestimation varies from -1% to
6 89% with largest values in JAN and an average of 40% (Fig. 7).

7 Despite this wet bias the temporal accordance between the two datasets is rather
8 good. Figure 8 shows scatter plots relating the area-averaged and grid box maximum
9 precipitation amounts of all 552 pentads from GPCP and ERA-40. The area averages in
10 both datasets cover the range from 0 to $\sim 3.2 \text{ mm day}^{-1}$ and most data points are relatively
11 close to the diagonal without any extreme outliers (Fig. 8a). In accordance with Fig. 7,
12 there is a general tendency of slightly higher ERA-40 values. The linear correlation
13 coefficient r equals 0.77 indicating that the ECMWF model is able to predict more than
14 half of the variability in this parameter. Since the data do not have a Gaussian
15 distribution, a rank correlation is performed that basically confirms this positive result
16 (Fig. 8b). The data points scatter uniformly around the diagonal with similar numbers of
17 occurrences of no precipitation in both datasets. The correlation coefficient of 0.75 is
18 only slightly lower than in Fig. 8a. For grid box maxima the correspondence between the
19 two datasets is satisfactory as well (Fig. 8c). Both datasets span the range between zero
20 and $\sim 15 \text{ mm day}^{-1}$ and again extreme outliers are rare, even for large rainfall amounts.
21 The linear correlation coefficient still reaches 0.59 for this parameter.

22

23

1 *b. Events*

2 In order to examine the ability of the ECMWF model to reproduce dry-season wet
3 events, the routine described in Section 4a was applied to ERA-40 forecasts for the same
4 552 pentads. Due to the positive bias found in Section 5a, the employed identification
5 threshold corresponds to a +200% anomaly with respect to the ERA-40 forecasts and not
6 with respect to the GPCP data. This limits the comparability between the two datasets in
7 an absolute sense, but is unavoidable in order to obtain similar numbers of events. A
8 sensitivity test using the GPCP thresholds resulted in an undesirable almost doubling of
9 wet events and 3.5 times more false alarms. Using the ERA-40 thresholds, the total
10 number of wet events in the forecasts is 34 and thus significantly smaller than in the
11 GPCP data. This result reflects the often-documented tendency of NWP models to
12 generate too much light precipitation while missing out on higher intensities (e.g., Frei et
13 al. 2003). The seasonal distribution is similar to GPCP with most events in JAN (11) and
14 DEC (9), and lesser events in NOV (6) and FEB (8) (for a definition of the periods and
15 the corresponding GPCP results, see Table 1). The latter number indicates a tendency to
16 overpredict FEB events. The number of events per season varies between zero (6 years)
17 and six events in 1990/91 (not shown). The linear correlation with the GPCP time series
18 in Fig. 5 is 0.64. There is no obvious trend or clustering of events, suggesting that
19 changes in data availability during the ERA-40 period did not have significant impacts.

20 Table 2 shows an evaluation of the ERA-40 forecasts of wet events based upon
21 the number of hits (*h*), misses (*m*), false alarms (*f*), and correct negatives (*z*) for the whole
22 study period and two subperiods, i.e., the first eleven and the last twelve years. The first
23 two columns indicate that 23 out of the 43 events identified in Section 4 are correctly

1 forecasted resulting in a Hit Rate (H) of 0.53 (for a definition of score indices, see caption
2 of Table 2). Eleven out of the 34 events in the ERA-40 forecasts did not verify in GPCP
3 data, leading to a False Alarm Rate (F) of 0.02 and a False Alarm Ratio (FAR) of 0.32. F
4 is the proportion of non-occurrences that were incorrectly forecasted, whereas FAR is the
5 proportion of forecasts of occurrence that did not verify. For a rare event like a dry-
6 season rainfall, FAR is of larger interest for an operational application. Too few events in
7 ERA-40 forecasts result in a Frequency Bias (B) of 0.79. A substantially larger H than F
8 and a Heidke Skill Score (HSS) of 0.56 (a HSS of zero means no skill and a HSS of one a
9 perfect forecast) indicate a moderate skill of the ERA-40 forecasts. H and FAR vary
10 rather little over the four months under consideration with an exception of FEB when
11 FAR reaches 0.63 (not shown). Consistently FEB is the only month for which more
12 events are predicted than observed (B of 1.33). In contrast, a relatively high m and a low
13 B of 0.55 characterize NOV. When using a threshold of 300% as in Table 1, H and HSS
14 decrease to 0.46 and 0.48, respectively, while FAR increases to 0.45 (not shown).

15 Comparing the two right-hand-side columns of Table 2 indicates an improvement
16 in forecast skill during the last twelve years of the study period. While FAR and F do not
17 change much, H increases from 0.38 to 0.68. The latter period reveals a HSS of 0.66 and
18 a “perfect” B of 1 (22 events in both datasets). Most likely, the increasing availability of
19 more refined satellite information and thus better initial conditions have contributed to
20 this improvement, although natural variations could well be responsible for this behavior
21 as well. In a pilot study to the work presented here operational ECMWF precipitation
22 forecasts were considered instead of ERA-40 forecasts, revealing a dramatic
23 overprediction of wet events during the 1980s and early 1990s and an increase in skill

1 after 1997/98 (Knippertz and Fink 2008b). This progress is presumably due to both better
2 data availability and improvements to the model and data assimilation system, in
3 particular the change from Optimum Interpolation to 3D-Var in 1996 and to 4D-Var
4 techniques in 1997.

5 The overall satisfactory performance of the ERA-40 forecasts at the ‘extreme’ end
6 of the precipitation distribution in a tropical region was not to be expected a priori and
7 corroborates the speculation by KF08 that dry-season precipitation in West Africa might
8 in fact be better predicted by state-of-the-art NWP models than the more intense summer
9 precipitation when extratropical influences are weak. In this evaluation it should be kept
10 in mind that the ‘truth’ represented here by GPCP data also has a certain uncertainty
11 range in a region with spatially inhomogeneous rainfalls and observations sparsely
12 distributed in time and space. One example that illustrates the occasional disagreement
13 between different data sources is the case study presented in Section 3 (Figs. 1a and 1b).

14

15 *c. Example cases*

16 In this subsection example cases of hits, misses, and false alarms will be
17 discussed. The ten most extreme positive anomalies in the GPCP data with values of
18 more than +500% (see Section 4c) are all correctly identified as wet events by ERA-40,
19 even though anomalies are mostly not as high as in the observations. In particular the
20 example case described in Section 3, the extreme event in January 2002 discussed among
21 others by Knippertz and Martin (2005), and the series of three wet events in December
22 1990 are well reproduced. Most of the 20 misses have anomalies rather close to the
23 required +200% in the ERA-40 forecast data, but there are also six major forecast busts

1 with negative precipitation anomalies. These cases occur in the driest part of the year
2 between 02 December and 04 February (Fig. 3). Absolute numbers range from 0.2–0.8
3 mm day⁻¹ in GPCP and from 0.03–0.13 mm day⁻¹ in the corresponding ERA-40 data. The
4 most extreme case is the pentad 02–06 December 1997, when GPCP shows an anomaly
5 of +432% with widespread precipitation over Nigeria and Benin (Fig. 9a), while ERA-40
6 forecasts concentrate precipitation over the western Gulf of Guinea (Fig. 9b) associated
7 with an anomaly of -86% in the study area. For this period there is no station data
8 available to confirm the precipitation in the southeastern part of the study region. The fact
9 that the large-scale situation is characterized by an upper-level trough over northwestern
10 Africa, reduced MSLP over the western Sahara accompanied by a slight northward shift
11 of low-level moisture over the western part of the study region only (Fig. 9c), and a TP
12 reaching from Ivory Coast to the eastern Mediterranean (Fig. 9d) casts the GPCP
13 estimates into some doubt. A similar situation with anomalies of +295% and -88%,
14 respectively, occurs during 26–30 January 1981.

15 The worst false alarm occurs during 06–10 January 1997, when ERA-40 forecasts
16 an anomaly of more than +400%, while the GPCP indicates no precipitation at all (i.e.,
17 anomaly of -100%). All available observations show rainfalls being largely restricted to
18 the Gulf of Guinea (Fig. 10a), while the ECMWF model shifts the rain inland over almost
19 the entire width of the study area (Fig. 10b). The observed absence of precipitation is
20 consistent with comparably weak troughs in the subtropics (Fig. 10c) and few clouds over
21 the study region (Fig. 10d). The analyzed low-level moisture field, however, does show a
22 northward shift, indicating that the model is either too moist or triggers precipitation too
23 easily. Similar patterns are responsible for the misforecasts during 05–09 February 1986

1 and 07–11 December 1988, while the false alarm of 16–20 January 1996 reveals a too
2 strong penetration only in the western half of the domain. This analysis suggests that the
3 overprediction of rainfall in the false alarm cases in the ECMWF model is not the result
4 of one or two unrealistic ‘grid point storms’ but rather due to regional scale problems.

5

6 **6. Dynamics**

7 In this section the dynamics of the wet events identified and investigated in the
8 previous sections will be examined with a focus on three issues: (A) How closely does
9 the typical evolution of a wet event agree with the case-study results by KF08 (see
10 Introduction)? This question is addressed in subsection 6a on the basis of composites of
11 ERA-40 re-analysis fields and CLAUS BTs for all 43 events. (B) To what extent do
12 details of the dynamics influence the quality of the ERA-40 precipitation forecasts? This
13 question is addressed by splitting the composites discussed in subsection 6a into hits,
14 misses, and false alarms (subsection 6b). (C) Are cases of misforecasts related to
15 problems with predicting the synoptic-scale setting and/or problems with the meso-scale
16 precipitation generation? This question is addressed with composites of differences
17 between ERA-40 60-h forecasts and corresponding ERA-40 re-analysis fields (subsection
18 6c). Some results in this section are illustrated with short discussions of exemplary cases.

19

20 *a. The typical evolution of a wet event*

21 For all 43 wet events Fig. 11 shows composite evolutions in Z500, MSLP, TD2M,
22 and CLAUS BTs for DAY-8, DAY-5, DAY-2, and DAY+1 with DAY0 being the center
23 of the pentad. Displayed are anomalies in the ERA-40 re-analysis fields with respect to

1 long-term monthly means. Only 12 UTC values of Z500, MSLP, and TD2M were
2 considered, while the full three-hourly resolution was used for the BT composites.
3 Already six days before the beginning of the precipitation pentad, i.e. on DAY-8, a
4 marked signal in both Z500 and MSLP is found (Fig. 11a). The Z500 field shows a
5 tripole with positive anomalies to the northwest of the British Isles, negative values
6 stretching from northwestern Africa to Russia, and finally a weakly positive anomaly
7 centered over the Libyan coast. The negative anomaly has a structure consistent with the
8 upper-trough shown in Fig. 2a. An inspection of the 43 single cases reveals that despite
9 the rather strong signal the spread between individual members is large with 13 cases
10 even having positive anomalies over northwestern Africa (not shown). The MSLP signal
11 indicates a largely barotropic structure with negative anomalies of more than 5 hPa over
12 the Mediterranean Sea. The area with reduced MSLP stretches as far south as northern
13 Nigeria with only weak impacts on the low-level moisture field at this stage. The CLAUUS
14 BT anomalies (Fig. 11b) are positive to the southwest of the upper-trough, where
15 subsidence and cloud breakup is expected, and negative to the southeast, where TP
16 occurrence is expected (cf. Fig. 2b). McGuirk and Ulsh (1990) already documented such
17 dry–wet dipoles in connection with TPs. Unusually cold cloud tops are also found in the
18 area of largest negative Z500 anomalies and over the Gulf of Guinea, the Guinea Coast,
19 and the southern part of the study area suggesting anomalous rainfall related to the
20 reduced MSLP and marginally enhanced moisture over the continent.

21 Over the next three days the upper-trough and surface low move eastward to the
22 Ionian Sea, while a new barotropic disturbance approaches northwest Africa from the
23 west (Fig. 11c). Together the two disturbances create a region of negative MSLP

1 anomalies covering almost entire North Africa from the Mediterranean coast to the Sahel.
2 This allows the ITD to move northwards as indicated by the positive TD2M anomalies
3 over the study region. The lowest absolute MSLP in the Tropics is now farther to the east
4 (not shown) and precipitation appears to be enhanced over Cameroon and the Central
5 African Republic (Fig. 11d). There are also anomalously cold cloud tops over the
6 Algerian and Tunisian Atlas, to the east of the western MSLP anomaly.

7 Another three days later, on DAY-2, the eastern disturbance has decayed while
8 the western disturbance has slightly shifted southward into Africa (Fig. 11e). The positive
9 anomalies over northern Europe found throughout the entire period are strongest, creating
10 a pronounced north–south dipole with a strong gradient between the two centers. Z500
11 anomalies of -5 gpm are found over the Gulf of Guinea, while MSLP is reduced by up to
12 2 hPa at the northern end of the study area. This situation allows moist southerlies to
13 penetrate into the box marked in Fig. 11, as indicated by TD2M anomalies as high as 7°C
14 (Fig. 11e). This increase in moisture feeds the unusual dry-season precipitation over the
15 next four days. The low Z500 over the Tropics indicates a reduced vertical stability that
16 also favors deep convection. This is consistent with the widespread negative BT
17 anomalies reaching from the Gulf of Guinea far into the study area, particularly over
18 Nigeria (Fig. 11f). Finally by DAY+1 the disturbance over northwest Africa has begun to
19 weaken and to move northeastward (Fig. 11g). Interestingly, the anomaly pattern
20 resembles DAY-8 with a weaker disturbance over the Mediterranean Sea (Fig. 11a). The
21 TD2M and BT anomaly patterns, however, strongly differ in magnitude with anomalous
22 low-level moisture and very cold cloud tops over the study region, a clear TP centered
23 over Libya, and warm anomalies over Mauritania and the adjacent Atlantic (Fig. 11h).

1 These differences suggest that it is not the strength of the extratropical disturbance alone
2 that matters for the tropical rainfall enhancement, but the whole evolution with a previous
3 disturbance pre-conditioning the Tropics through poleward moisture transports as
4 discussed by Knippertz and Martin (2005). After DAY+2 the extratropical disturbance
5 rapidly weakens (not shown).

6 This composite analysis corroborates a connection between dry-season wet events
7 in tropical West Africa with extratropical disturbances penetrating to very low latitudes.
8 The strong signal in Z500 and MSLP almost a week before the unusual precipitation
9 event is remarkable and suggests an importance of a succession of two extratropical
10 disturbances. The rather weak indications of a TP during DAY-8 to DAY-2 (Figs. 11b,
11 11d, and 11f) suggest that in a statistical sense, the diabatic mechanism of pressure
12 reduction found by KF08 is probably less important than its dynamic counterpart related
13 to warm advection. The stronger TP signals for DAY-1 (not shown), DAY0 (Fig. 12b)
14 and DAY+1 (Fig. 11h) point to a possible importance for later stages of the evolution.
15

16 *b. Influence of the dynamics on the quality of the precipitation forecast*

17 In Figs. 12a and 12b the same composites as in Fig. 11 are shown for DAY0.
18 They largely resemble the ones for DAY+1 (Figs. 11g and 11h) but with a more
19 pronounced TP and even colder cloud tops over the study area. If these results are now
20 compared to corresponding composites for hits only, much more widespread cold cloud
21 tops and higher low-level moisture are found in the study region, together with a
22 somewhat stronger upper-level trough and a much clearer warm–cold dipole in the BT
23 anomalies, i.e. a much clearer TP, while the MSLP signal is very similar (Figs. 12c and

1 12d). This suggests that events with a large-scale organization and a clear link to the
2 extratropics are reliably reproduced. Composites for all misses have a markedly different
3 structure. The Z500 and MSLP signals show two barotropic disturbances, one to the west
4 of the Iberian Peninsula, and one over Tunisia and the Gulf of Gabes (Fig. 12e) that do
5 not reach as far into the Tropics as for the hits and therefore cause a weaker northward
6 moisture advection as evident from the smaller TD2M anomalies (cp. Fig. 12c with
7 Fig. 12e). Negative BT anomalies are analyzed to the east of the two disturbance centers
8 and a TP stretches along their southern flanks from off the Senegalese coast to eastern
9 Libya (Fig. 12f). In the study region there are scattered localized negative BT anomalies,
10 but the signal is comparably weak and has no evident connection to the extratropics. This
11 result appears consistent with frequent forecast misses in NOV (see Section 5b).

12 These results can be illustrated with the forecast miss 31 January – 04 February
13 1998. At 1200 UTC 02 February the Z500 and MSLP distributions (Fig. 13a) show a
14 strong disturbance to the west of the Iberian Peninsula and a weak trough over the eastern
15 Mediterranean consistent with the composite in Fig. 12e. Negative MSLP anomalies are
16 found over entire northern Africa down to about 12°N (not shown) that are associated
17 with enhanced moisture transports into the continent (Fig. 13a). The upper-trough,
18 however, is too remote to directly influence the precipitation generation as for example
19 during the case in February 1999 (Fig. 2a). Instead, CLAUS BT data for this period
20 indicate precipitation generation by rather localized convective cells forming in the
21 afternoon hours of 31 January, and 01 and 02 February (Figs. 13b and 13c). In the GPCP
22 data the precipitation zone penetrates into the eastern and central part of the study region,
23 consistent with the CLAUS data and the few available station observations (Fig. 13d),

1 leading to an area-averaged anomaly of 398%. The TRMM data shows more localized
2 precipitation over Ghana, Togo, and Benin (Fig. 13e). The disagreement between the
3 observational datasets underlines the general difficulty of forecast evaluation in this
4 region discussed earlier in this paper. The ERA-40 forecasts extend the precipitation zone
5 into the study region, but not widespread and not intense enough (Fig. 13f), resulting in
6 an area-averaged anomaly of -23%. These results suggest difficulties of the ECMWF
7 model to trigger convection in cases with an anomalous moisture inflow into the study
8 region prior to DAY0 but without a direct synoptic forcing by an upper-level trough as
9 for the hits. The possible influence of a misforecasted synoptic setting is addressed in
10 subsection 6c.

11 The composite analysis for the false alarm cases reveals very large anomalies in
12 Z500, MSLP, and TD2M (Fig. 12g). Note, however, that the strength of the anomalies is
13 strictly speaking not directly comparable to the hits and misses due to the smaller sample
14 size. In contrast to the other cases the orientation of the Z500 anomaly is from northwest
15 to southeast, leading to negative vorticity advection, subsidence, and positive BT
16 anomalies over large parts of West Africa (Fig. 12h). The MSLP shows strongest
17 anomalies over the Bay of Biscay (< -5 hPa) and over northeastern Niger (< -4 hPa). The
18 latter is associated with enhanced moisture inflow into the continent as reflected in large
19 positive TD2M anomalies (Fig. 12g), while substantial cold BT anomalies are restricted
20 to the southeastern corner of the study area (Fig. 12h). Possibly the strong subsidence
21 creates a rather dry and stable mid-troposphere with capping inversions, which is not
22 conducive for deep convection, even in the presence of low-level moist air. It is
23 conceivable that the ECMWF model struggles to capture such stable layers and therefore

1 forecasts too much precipitation in these situations. A thorough investigation of this idea
2 would require a detailed comparison with available radiosonde data, which is beyond the
3 scope of this paper. Possible other reasons are an underestimation of precipitation in the
4 GPCP data, for example, due to a lack of surface observations or a mispredicted synoptic
5 setting. The first hypothesis cannot be tested without an additional independent source of
6 information to evaluate the GPCP data. The second will be addressed in the next
7 subsection.

8

9 *c. Predictions of the synoptic setting*

10 For this analysis, ERA-40 forecasts of Z500, MSLP, and TD2M started at
11 0000 UTC of DAY-2 of the respective pentad and then run for 60 hours until 1200 UTC
12 on DAY0 are compared to the corresponding ERA-40 re-analyses in the form of
13 composites for all events, all hits, all misses, and all false alarms as in Fig. 12. For all wet
14 events the ERA-40 forecasts reveal a positive MSLP and Z500 bias over the western
15 Mediterranean Sea and adjacent North Africa (Fig. 14a), indicating a somewhat too weak
16 disturbance in the forecast (cf. Fig. 12a). In the Tropics, Z500 and MSLP are
17 systematically forecasted too low, consistent with a too high TD2M over the study
18 region. If the composite is split into hits and misses, the forecast errors with respect to the
19 large-scale circulation do not change significantly (Figs. 14b and 14c). Both hits and
20 misses show a wet bias over the study region with the one for misses being somewhat
21 smaller, which might explain part of why the model generates too little precipitation in
22 these cases. The differences in the Z500 errors over the study region are presumably too

1 small to have a substantial impact, although the positive values for the misses are at least
2 consistent with less precipitation.

3 The forecast errors for false alarms have a different structure and are of larger
4 magnitude (Fig. 14d), which again may be due to the smaller number of composite
5 members. The most prominent signals are a TD2M error in the study region of as much
6 as 10°C, a large northwest–southeast oriented region with positive deviations in Z500 and
7 MSLP stretching from the Atlantic into northwest Africa, and a region with negative
8 values over the central Mediterranean Sea and adjacent parts of Europe. This indicates a
9 reduction of the negative trough orientation evident from Fig. 12g in the model, which
10 would reduce the subsidence to the southwest and south of the trough with potential
11 positive effects on precipitation. In the Tropics, however, the signals in Z500 and MSLP
12 do not differ much from the result for all events (Fig. 14a), pointing to a rather indirect
13 effect of these forecast errors.

14 To illustrate this further, Fig. 15 shows the false alarm example of 15–19
15 February 1991. For this period GPCP data and station observations indicate an unusual
16 penetration of rainfalls into the study area but with rather low amounts (Fig. 15a), while
17 the ERA-40 model forecasts more widespread and more intense rainfalls (Fig. 15b). The
18 synoptic situation on DAY0 of the pentad is characterized by a conspicuous disturbance
19 close to the Iberian Peninsula connected to a low-pressure corridor stretching from Niger
20 to Algeria that is most likely responsible for the shift of the ITD and the rain zone into the
21 study region (Fig. 15c). These patterns closely agree with the false alarm composite
22 shown in Fig. 12g. Consistent with Fig. 14d, the forecast errors are comparably large,
23 reaching positive values in Z500 of more than 60 gpm over the Atlantic and Algeria, and

1 negative values of the same magnitude over the western Mediterranean Sea (Fig. 15d).
2 Corresponding to the upper-level patterns, maximum MSLP errors are as large as
3 +11 hPa over the Portuguese coast and -4 hPa over northeastern Spain. These errors shift
4 the disturbance center from the western to the eastern side of the Iberian Peninsula and
5 lead to a more positively tilt of the upper-level trough and slightly lower MSLP in a band
6 stretching from Guinea to Libya. The latter is consistent with the positive TD2M errors
7 and stronger precipitation in the model. Interestingly, there is also a pronounced positive
8 TD2M error close to the border triangle Algeria–Mali–Niger (Fig. 15d). In conclusion,
9 these results suggest that the false alarms are to some degree related to worse forecasts of
10 the large-scale circulation, in particular of the moisture distribution in the Tropics and the
11 orientation of the trough axis in the subtropics, which determines the vertical motion
12 patterns. In addition, problems with the precipitation generation, specifically with the
13 suppression of deep convection through capping inversions, and also with the quality of
14 the observations cannot be ruled out.

15

16 **7. Summary and conclusions**

17 Precipitation events during the heart of the dry-season in tropical West Africa
18 from November to February are rare, but can have high impacts locally. Previous work
19 has suggested a link to upper-level troughs from the extratropics and a comparably high
20 predictability of such events (KF08), which is potentially of great benefit to the local
21 population. Here an identification routine for such dry-season wet events was developed
22 based on 23 winter seasons from the GPCP merged satellite-gauge pentad dataset. The
23 algorithm uses an area-averaged (7.5–15°N, 10°W–10°E) threshold of +200% anomaly

1 relative to the mean seasonal cycle, resulting in an identification of 1.87 events per winter
2 on average with a range from zero to five. Most events occur in December and January
3 when the absolute exceedance thresholds are lowest. A composite analysis revealed that
4 the unusual precipitation is in fact connected to distinct upper-level disturbances in the
5 subtropics and an associated lowering of the MSLP over the Sahara that allows moist
6 southerlies to penetrate farther than usual during this season into the continent. The
7 analysis also points to an impact of low-level moisture inflow connected to a
8 preconditioning prior disturbance about one week before the actual precipitation event in
9 agreement with a case study by KF08. For extreme precipitation events in regions closer
10 to the West African west coast Knippertz and Martin (2005) also found a preconditioning
11 through mid-tropospheric moisture advection from the deep Tropics ahead of a precursor
12 upper-level trough. This general behavior of a several day-long moistening of the
13 seasonally dry troposphere may help African forecasters in their day-to-day operations to
14 anticipate the potential for high-impact weather events as early as possible. The actual
15 wet events are accompanied by TPs to the east of the upper-troughs and by unusual
16 precipitation at the northern and western fringes of the Sahara as already documented by
17 KF08. With respect to the dynamical concept developed on a case study basis by KF08
18 the statistical results presented here suggest that the pressure fall over the Sahara is
19 mainly related to adiabatic warm advection to the southeast of the upper-trough and to a
20 lesser degree by diabatic warming under the TP due to an increased greenhouse effect.
21 The latter may be important in later stages of the evolution or in transition season cases
22 when warm advection and vertical motions associated with the troughs are weaker.

1 The evaluation of five-day precipitation forecasts from the ERA-40 re-analysis
2 dataset led to the following conclusions: (A) There is an overall wet bias in the model
3 over the study region. (B) The temporal correlation of area averages to GPCP is highly
4 significant with 0.77. (C) The number of wet events is underpredicted leading to a bias of
5 0.79 and a hit rate of 0.53 with the strongest ten events all well reproduced. (D) The false
6 alarm ratio is only 0.32 indicating an overall moderate skill of the five-day forecasts (in
7 contrast to many other tropical precipitation systems, e.g., Montmerle et al. 2006), which
8 even increases in the later half of the study period. (E) Typical hits are characterized by a
9 deep penetration of the extratropical disturbance into West Africa, a distinct TP, and a
10 large-scale organization of convection in the Tropics through the pronounced trough.
11 (F) Typical misses are characterized by a northward shifted moist zone without a deep
12 penetration of extratropical disturbances. The abundance of low-level moisture favors the
13 development of localized convective cells in the course of the day that are apparently less
14 reliably reproduced by the ECMWF model. (G) Typical false alarms show a more
15 negative orientation of the extratropical disturbance, strong subsidence over large parts of
16 West Africa, and enhanced cloudiness over the eastern part of the study region. Possible
17 reasons for the too strong precipitation in the ECMWF model forecasts are too moist low
18 levels, problems with the suppression of deep convection through capping inversions in
19 the subsidence zone and/or a more positively tilted trough orientation in the subtropics.
20 These results corroborate the hypothesis of KF08 that a strong extratropical influence
21 generally enhances the quality of predictions in the Tropics. In our view the presented
22 results are promising enough to be taken advantage of by national weather services in
23 West Africa.

1 One problem evident from this study is the, at times, questionable quality of the
2 coarse resolution GPCP data used for forecast evaluation related to the sparse gauge
3 network in West Africa (see Yin et al. 2004). Therefore the authors intend to repeat some
4 of the investigations presented here with the high-resolution, high-quality TRMM data
5 available for 1998–present. A comparison of this dataset to the state-of-the-art ERA-
6 interim re-analysis would allow a further exploration of the impact of a more refined
7 assimilation system on forecast improvements. Moreover spatial correlations could be
8 considered instead of area averages to allow a more regional evaluation that is of more
9 practical use. It would also be interesting to include extratropical influences during the
10 post- and pre-monsoon season, i.e. during October, March, and April. Another aspect
11 raised but not entirely clarified in this and previous studies is the mechanism of pre-
12 conditioning by a prior disturbance. Possible hypotheses are that an enhancement of soil
13 moisture or a moister mid-troposphere improves conditions for subsequent rainfalls.

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1 **List of Figures**

2 FIG. 1. Five-day accumulated precipitation during the pentad 15–19 Feb. 1999 (in mm)
3 from (a) GPCP, (b) TRMM, and (c) ERA-40 forecast data. Observations from selected
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6

7 FIG. 2. (a) Z500 (contours every 50 gpm), MSLP (shading), and 14°C contour of TD2M
8 (thick solid line) at 1200 UTC 17 Feb. 1999. The dashed line shows the 1979–2002
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19 with respect to the mean pentad values displayed in Fig. 3 and expressed in mm day⁻¹
20 (gray bars, right ordinate) and in % (black bars, left ordinate), respectively. The dates on
21 the abscissa give the center day of the respective pentad, which are numbered serially for
22 reference in the text.

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2 identified from GPCP pentad data using the routine described in Section 4a.
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5 mm per pentad. A black box marks the study area.
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7 FIG. 7. Black bars show the mean annual cycle of precipitation as in Fig. 3 but based
8 upon five-day ERA-40 precipitation forecasts. The gray bars indicate the difference
9 between ERA-40 and GPCP data in %.
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11 FIG. 8. Scatter plots relating pentad precipitation data from GPCP (abscissae) to ERA-40
12 five-day forecasts (ordinates) for the 552 pentads 02 Nov.–01 March 1979/80–2001/02.
13 (a) Area averages for 7.5°N–15°N, 10°W–10°E in mm day⁻¹. (b) Ranking of the area
14 averages shown in (a). Rank 494 in the GPCP data and rank 499 in the ERA-40 data
15 corresponds to zero precipitation. (c) As (a) but for the 2.5° x 2.5° grid box maxima. The
16 linear correlation coefficient r is given in each panel. All correlations are significant at
17 the 99.9% significance level.
18

19 FIG. 9. Example of an extreme forecast miss. Five-day accumulated precipitation during
20 the pentad 02–06 Dec. 1997 (in mm) from (a) ERA-40 forecast data and (b) GPCP. Only
21 the synoptic station Odienne reported precipitation during this period. (c) CLAUSS IR
22 brightness temperatures at 2100 UTC on 04 Dec. 1997. (d) Analyzed Z500 (contours
23 every 50 gpm), MSLP (shading), and 14°C contour of TD2M (thick solid line) at

1 1200 UTC 04 Dec. 1997. The dashed line shows the 1979–2001 December average of the
2 latter contour as a reference. Black boxes mark the study area.

3

4 FIG. 10. Example of an extreme false alarm. Five-day accumulated precipitation during
5 the pentad 06–10 Jan. 1997 (in mm) from (a) ERA-40 forecast data and (b) GPCP. Only
6 the synoptic station Djougou reported traces of precipitation during this period.

7 (c) CLAUS IR brightness temperatures at 2100 UTC on 07 Jan. 1997. (d) Analyzed Z500
8 (contours every 50 gpm), MSLP (shading), and 14°C contour of TD2M (thick solid line)
9 at 1200 UTC 07 Jan. 1997. The dashed line shows the 1979–2002 January average of the
10 latter contour as a reference. Black boxes mark the study area..

11

12 FIG. 11. Composited anomalies of Z500 (green contours every 5 gpm), MSLP (shaded),
13 and TD2M (red contours showing 2, 4, and 6 °C) (left panels), as well as CLAUS IR BTs
14 (right panels) over all 43 wet events identified in Section 4b. (a)–(b) DAY-8, (c)–(d)
15 DAY-5, (e)–(f) DAY-2, and (g)–(h) DAY+1. No CLAUS data is available before 1983,
16 so that these composites consist of only 35 events. Black boxes mark the study area. Note
17 the different geographical areas in the right and left panels.

18

19 FIG. 12. Composited anomalies of analyzed Z500 (contoured every 5 gpm), MSLP
20 (shaded), and TD2M (red contours showing 2, 4, 6, and 8 °C) (left panels), as well as
21 CLAUS BTs (right panels) for DAY0 over (a)–(b) all 43 wet events, (c)–(d) all 23 hits,
22 (e)–(f) all 20 misses, and (g)–(h) all 11 false alarms. No CLAUS data is available before
23 1983, so that these composites consist of 35 events in (b), 20 events in (d), and 15 events

1 in (f). The false alarms are not affected. Black boxes mark the study area. Note the
2 different geographical areas in the right and left panels.

3

4 FIG. 13. Example of a forecast miss. (a) Analyzed Z500 (contours every 50 gpm), MSLP
5 (shading), and 14°C contour of TD2M (thick solid line) at 1200 UTC 02 Feb. 1998. The
6 dashed line shows the 1979–2002 February average of the latter contour as a reference.
7 (b)–(c) CLAUS IR brightness temperatures at 2100 UTC on 01 and 02 February,
8 respectively. (d)–(f) as Fig. 1 but for 31 Jan. – 04 Feb. 1998. Black boxes mark the study
9 area.

10

11 FIG. 14. Composited differences of Z500 (green contours every 4 gpm), MSLP (shaded),
12 and TD2M (red contours showing 2, 4, 6, 8, and 10°C) between ERA-40 60-hour
13 forecasts and the corresponding re-analysis for DAY 0: (a) all 43 wet events, (b) all 23
14 hits, (c) all 20 misses, and (d) all 11 false alarms. Black boxes mark the study area.

15

16 FIG. 15. Example of a false alarm. (a)–(b) As Figs. 1a and 1c but for 15–19 Feb. 1991.
17 (c) Z500 (contours every 50 gpm), MSLP (shading), and 14°C contour of TD2M (red
18 solid line) at 1200 UTC 17 Feb. 1991. The dashed red line shows the 1979–2002
19 February average of the latter contour as a reference. (d) Differences of Z500 (contoured
20 every 15 gpm), MSLP (shaded), and TD2M (red contours showing 6, 10, and 14°C)
21 between the ERA-40 60-hour forecast valid at 1200 UTC 17 Feb. 1991 and the
22 corresponding re-analysis. Black boxes mark the study area.

1 TABLE 1. Monthly statistics of mean precipitation and number of dry-season precipitation
 2 events for two different thresholds. Data basis are the GPCP pentad precipitation
 3 estimates for the 23 dry seasons 1979/80 to 2001/02. For details on the identification of
 4 events, see Section 4a.

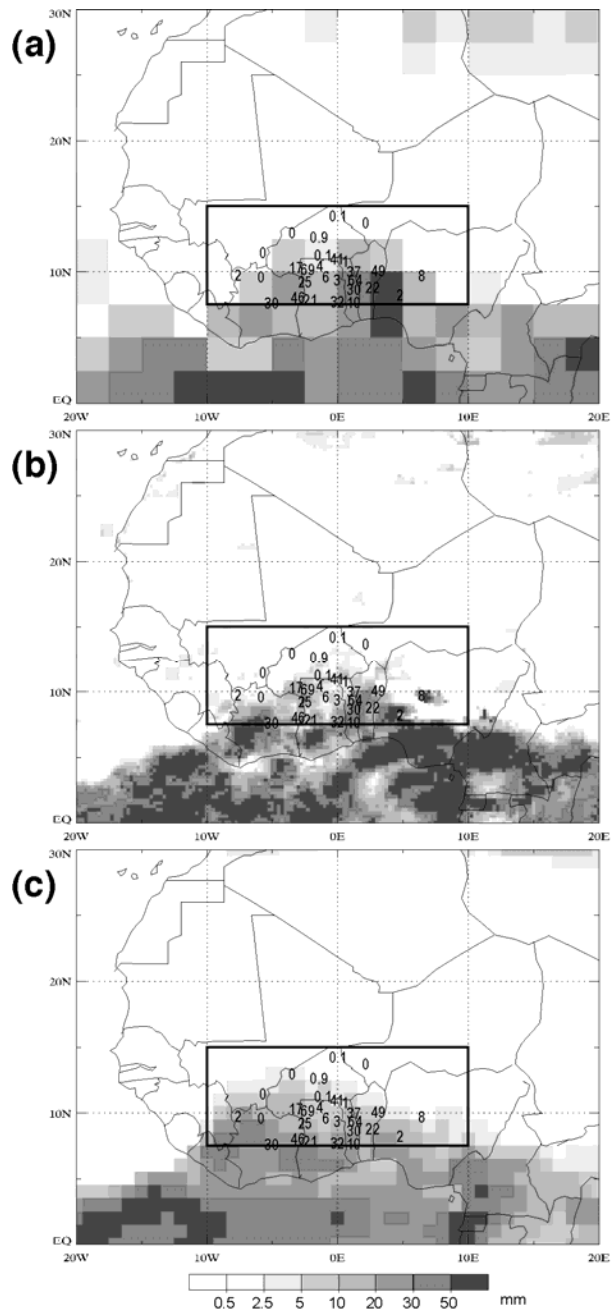
Month	Period	Mean precipitation	# wet events (> 200%)	# wet events (> 300%)
NOV	02 Nov. – 01 Dec.	0.33 mm day ⁻¹	11	5
DEC	02 – 31 Dec.	0.11 mm day ⁻¹	13	9
JAN	01 – 30 Jan.	0.07 mm day ⁻¹	13	5
FEB	31 Jan. – 01 Mar.	0.21 mm day ⁻¹	6	5
All	02 Nov. – 01 Mar.	0.18 mm day ⁻¹	43	24

5

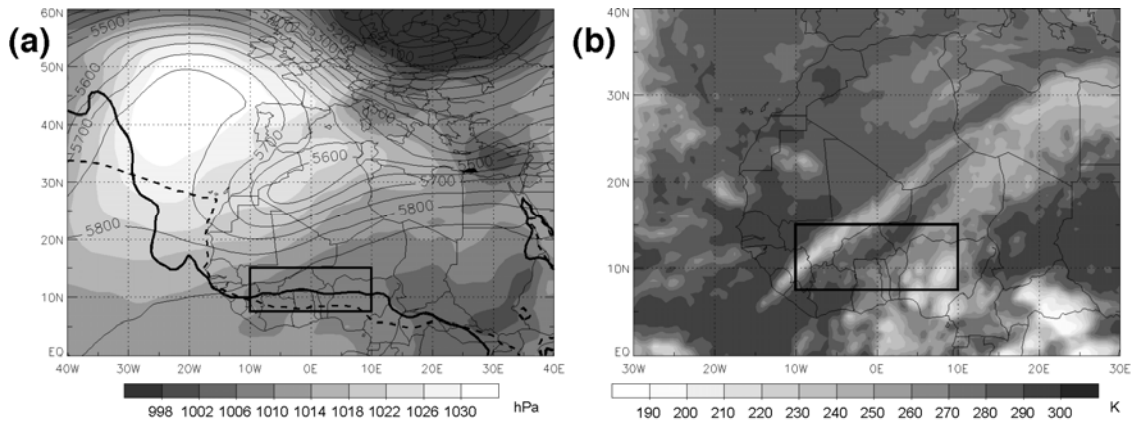
1 TABLE 2. Quality of ERA-40 forecasts of dry-season wet events evaluated with GPCP
2 data. The rows give numbers of hits (h), misses (m), false alarms (f), correct negatives (z),
3 and total number of dates (n) for the whole study period and two subperiods. The
4 definition of the indices used are (see Mason 2003 for more details): Frequency Bias $B =$
5 $(h+f)/(h+m)$, Hit Rate $H = h/(h+m)$, False Alarm Rate $F = f/(f+z)$, False Alarm Ratio
6 $FAR = f/(h+f)$, and the Heidke Skill Score $HSS = (PC-E)/(1-E)$, where PC is proportion
7 correct ($PC = (h+z)/n$) and E is the proportion of forecasts that would have been correct,
8 if forecasts and observations were independent: $E = 1/n^2[(h+m)(h+f)+(z+m)(z+f)]$.
9 The HSS varies between 0 (no skill) and 1 (perfect forecast).

Period	1979/80– 2001/02	1979/80– 1989/90	1990/91– 2001/02
h	23	8	15
m	20	13	7
f	11	4	7
z	498	239	259
n	552	264	288
B	0.79	0.57	1.00
H	0.53	0.38	0.68
F	0.02	0.02	0.03
FAR	0.32	0.33	0.32
HSS	0.57	0.45	0.66

10



1
2 FIG. 1. Five-day accumulated precipitation during the pentad 15–19 Feb. 1999 (in mm)
3 from (a) GPCP, (b) TRMM, and (c) ERA-40 forecast data. Observations from selected
4 rain gauges are indicated as numbers, with ‘0’ indicating traces of rain. Black boxes mark
5 the study area.



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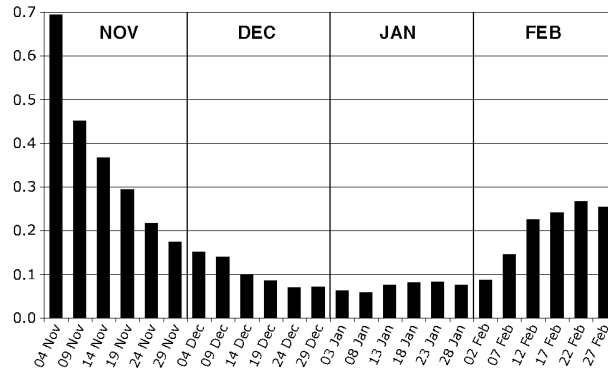
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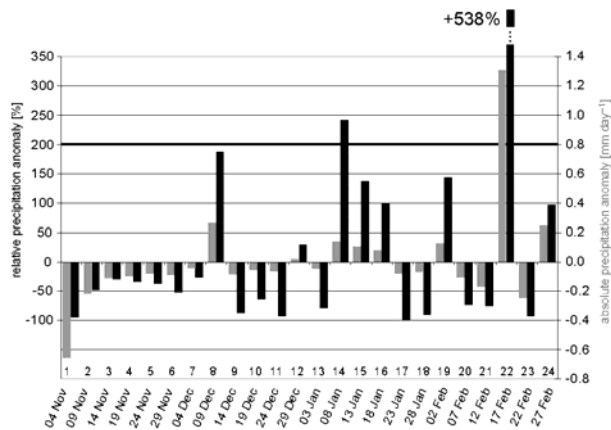
4 (thick solid line) at 1200 UTC 17 Feb. 1999. The dashed line shows the 1979–2002

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6 temperatures at 2100 UTC on this day. Black boxes mark the study area.

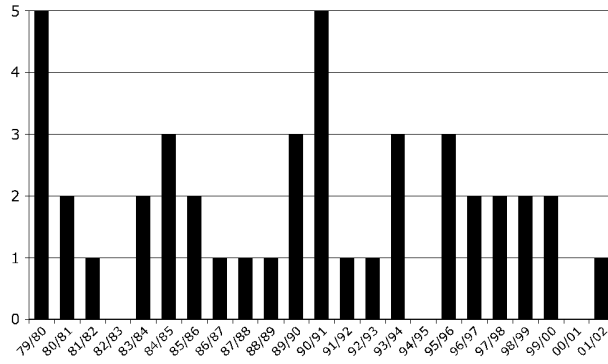


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 5 abscissa give the center day of the respective pentad. Months are defined as in Table 1.

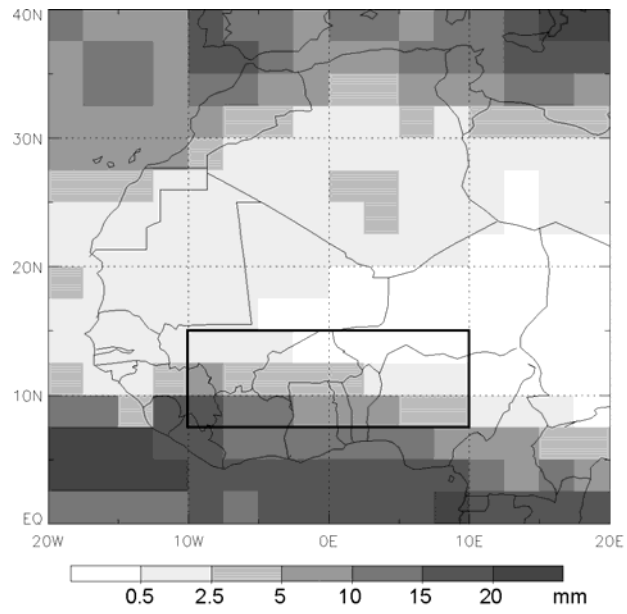


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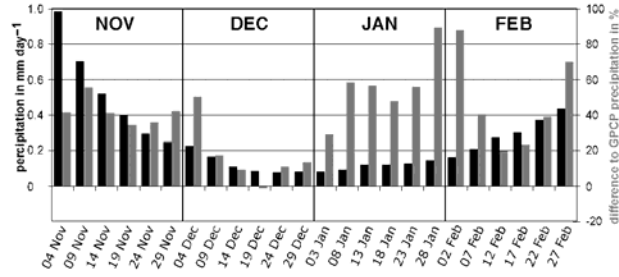
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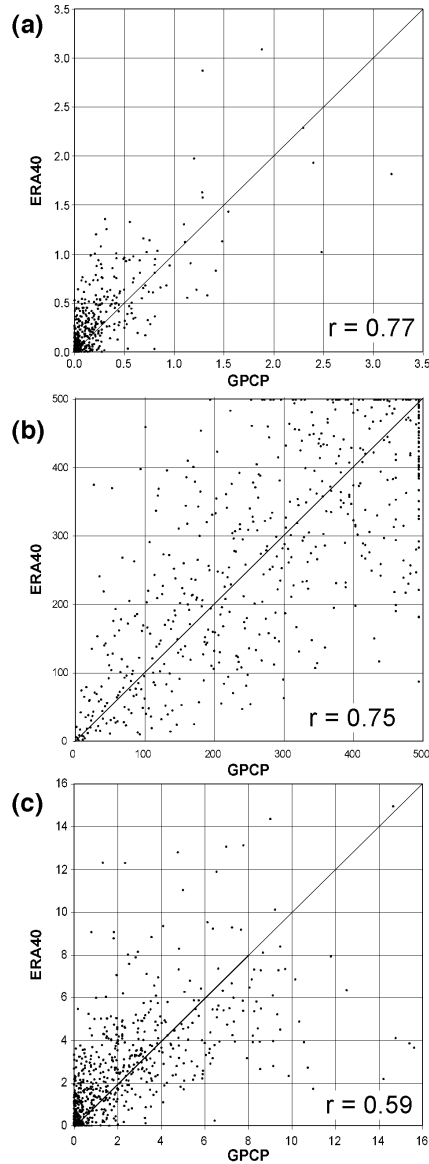
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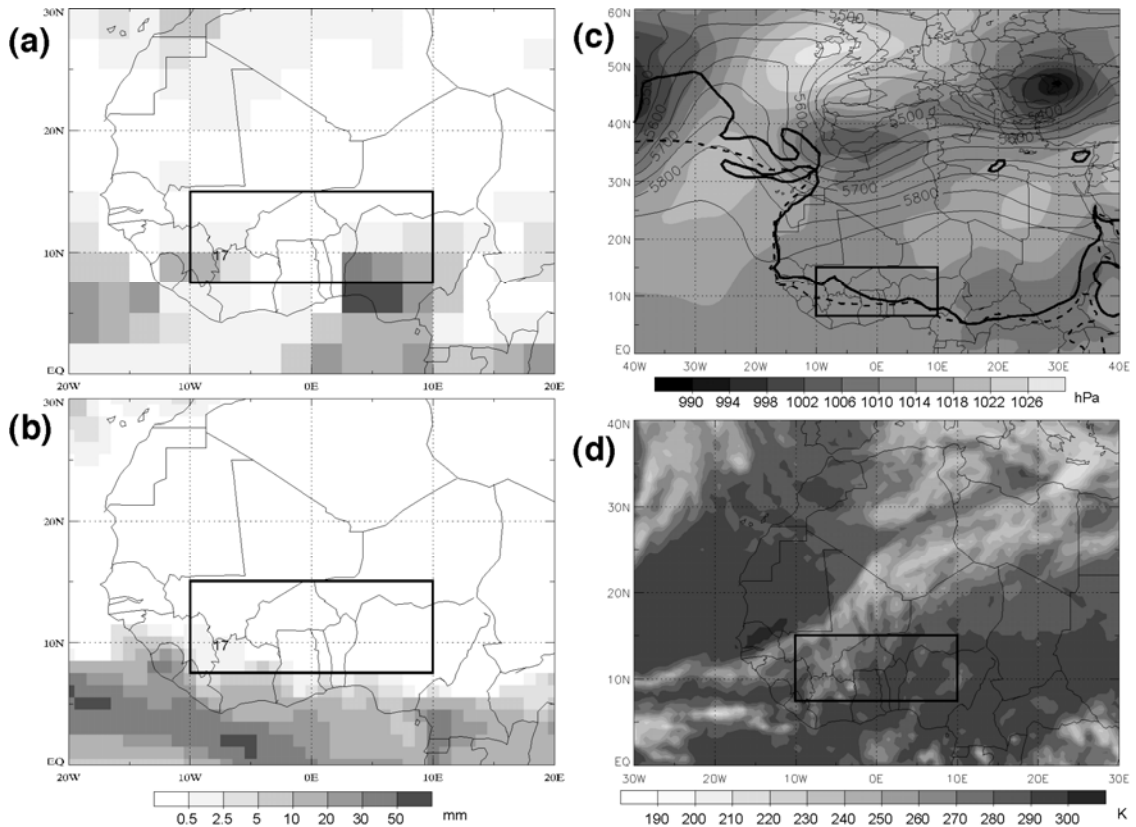
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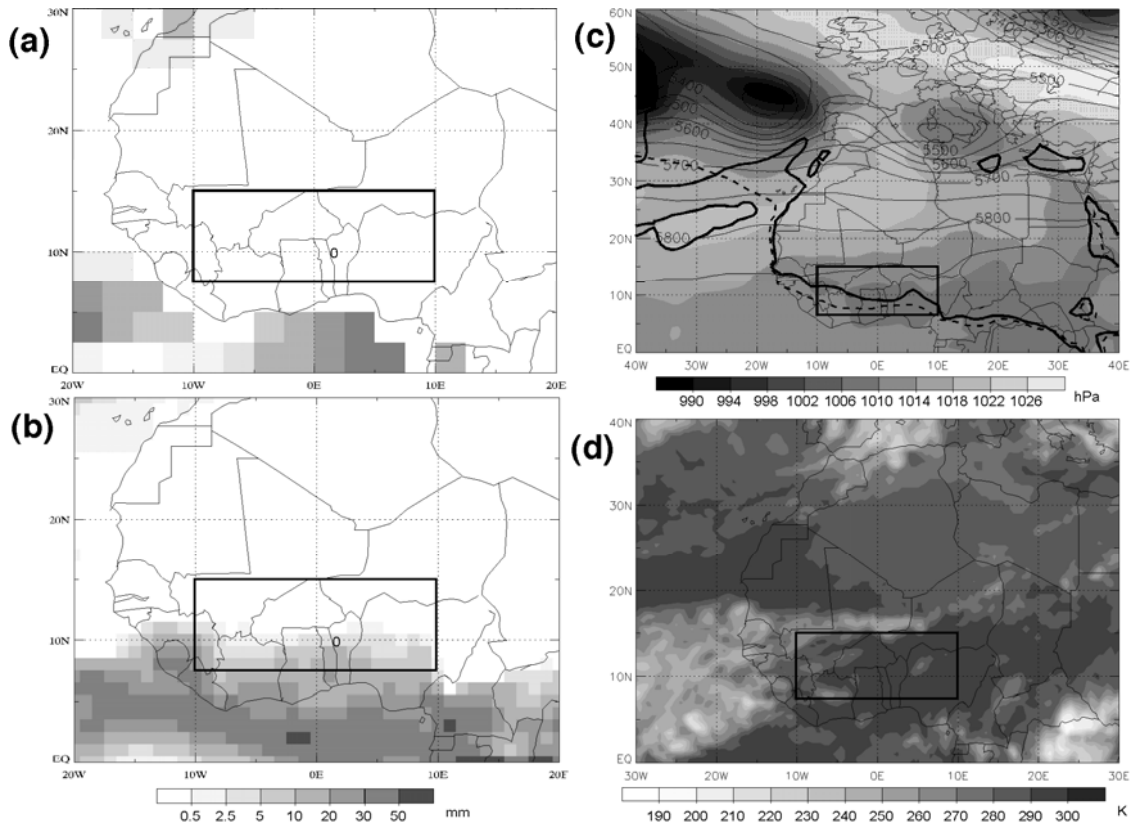
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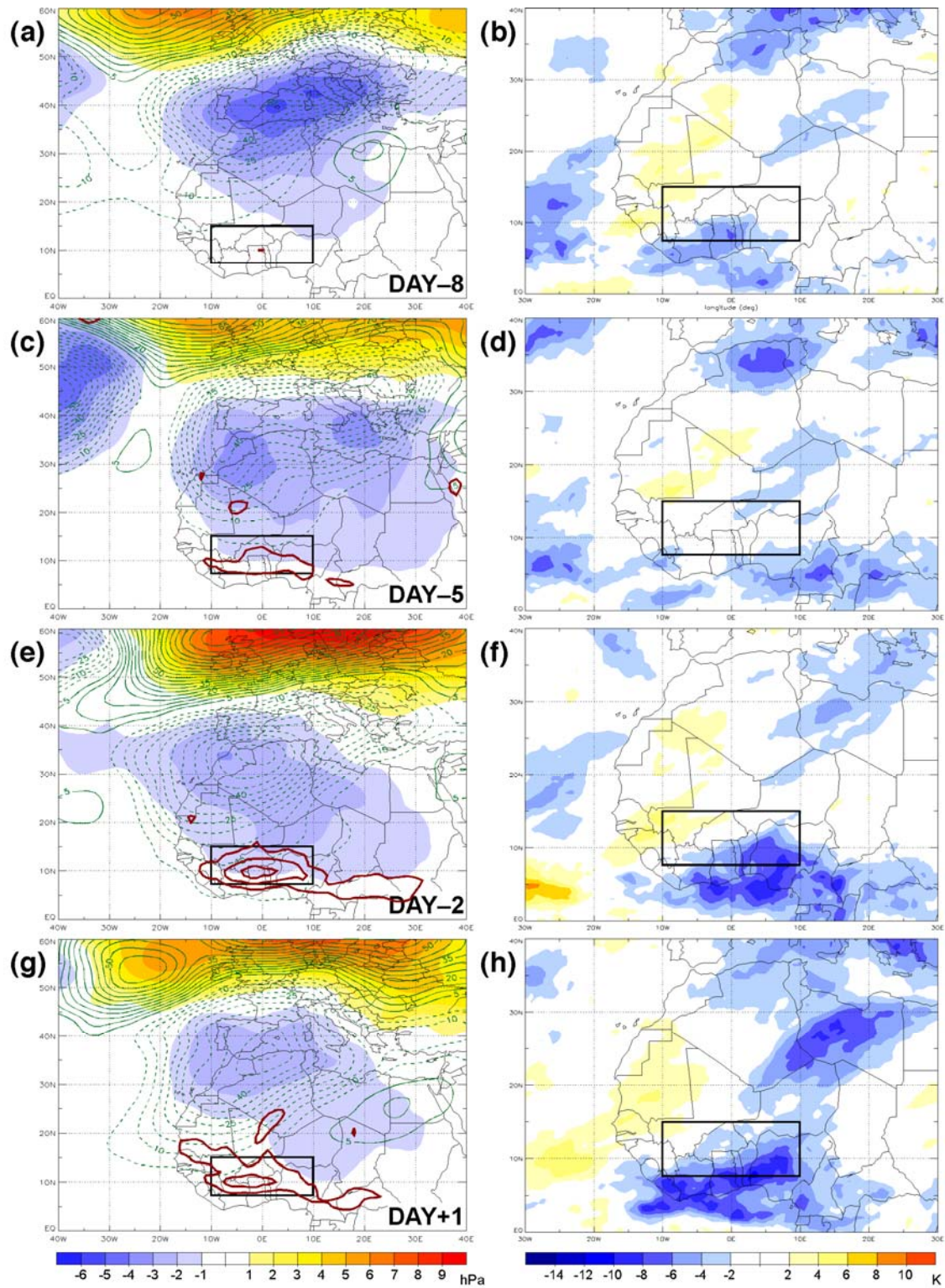


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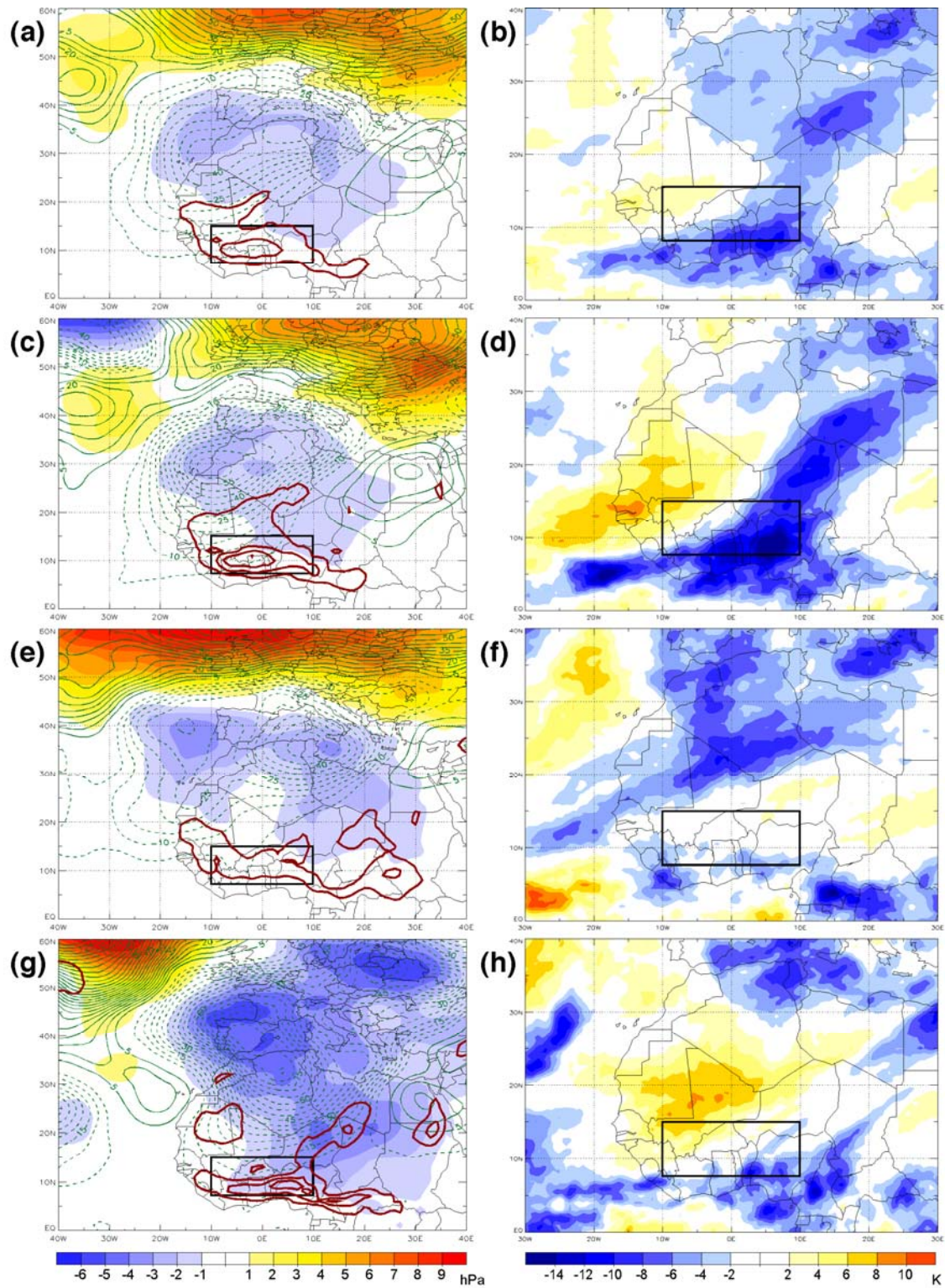


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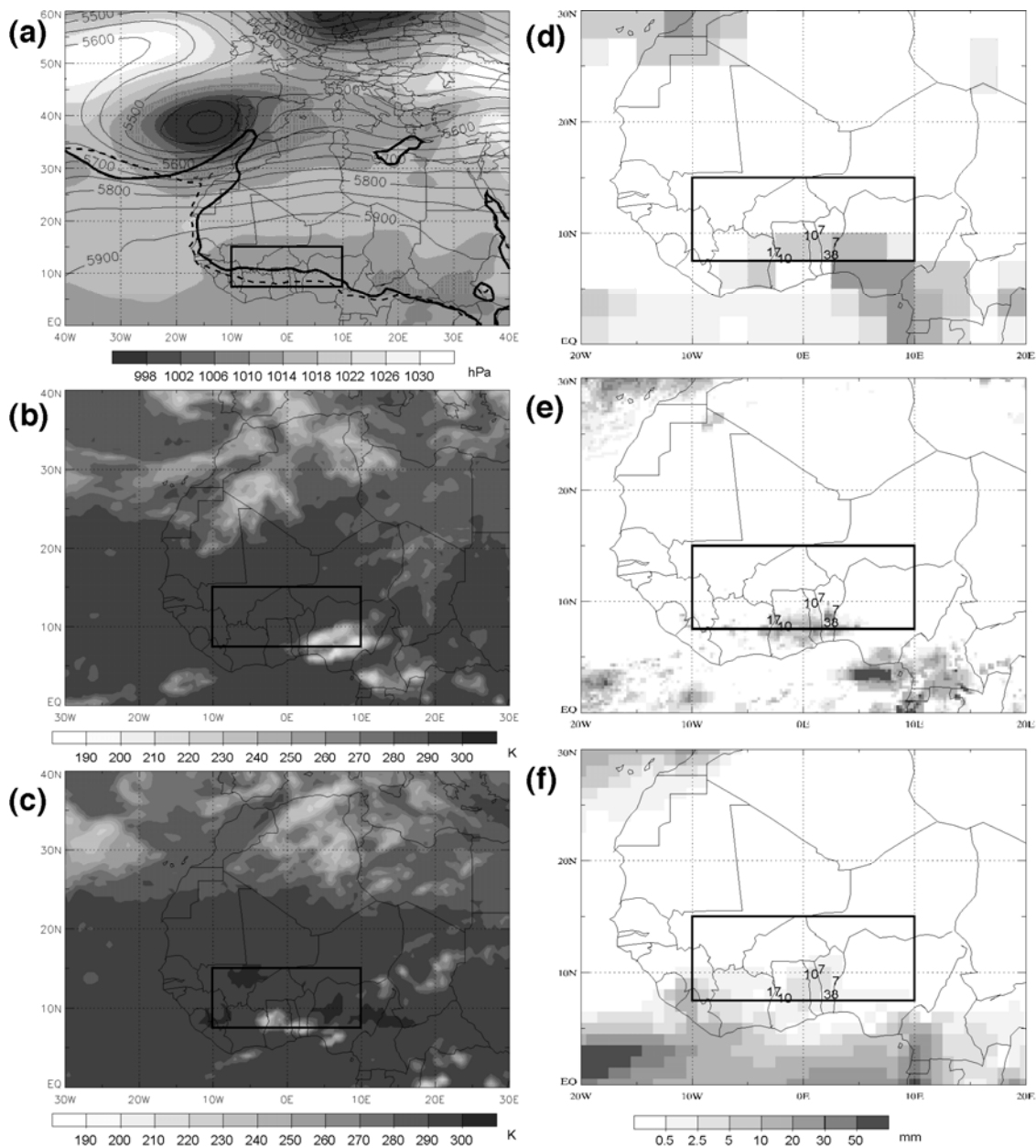
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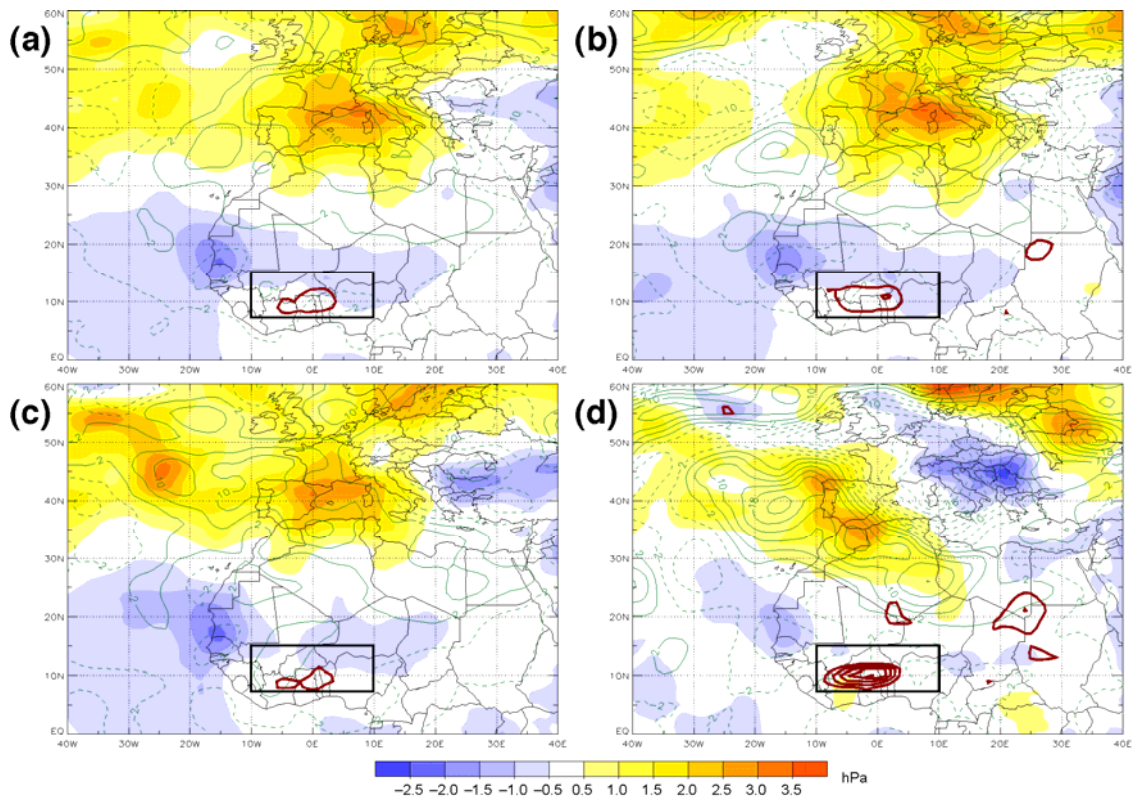
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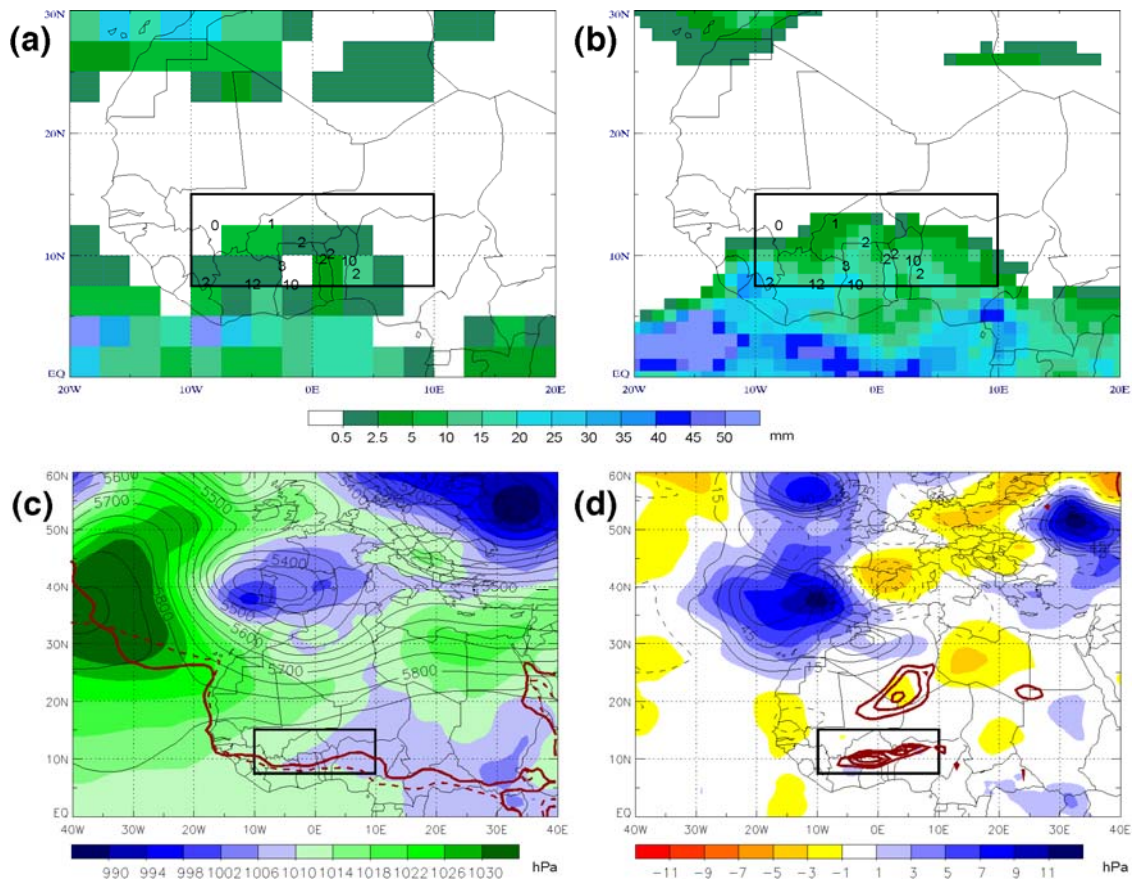
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3 (c) Z500 (contours every 50 gpm), MSLP (shading), and 14°C contour of TD2M (red
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